IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Dominique CHIARONI, et al.

Attorney Docket No. Q68601

Appln. No. Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: February 21, 2002

Examiner: Unknown

For:

OPTICAL PACKET NODE AND OPTICAL PACKET ADD DROP MULTIPLEXER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notifythe U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT Attorney Docket No. Q68601

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a Foreign Patent Office in a counterpart application citing such documents, together with an English-language version (if not already included) of that portion of the Communication indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: February 21, 2002

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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U.S. PATENT DOCUMENTS						
		Document N	umber			
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
		US				
		US				
		US				
		US				

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
	Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
		EP	1 063 802	A1	12-27-2000	Lucent Technologies Inc.	Yes
		EP	1 009 120	A2	06-14-2000	Nortel Networks Corporation	Yes
		wo	00/49752		08-24-2000		No w/English Abstract
		EP	1 065 820	A2	01-03-2001	Lucent Technologies	Yes
		-					

Examiner Initials*	Cite No.1	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		HARADA K et al: "Optical Path Cross-Connect System Using Matrix Wavelength Division Multiplex Scheme" IEICE Transactions on Electronics, Institute of Electronics Information and Comm. Eng. Tokyo, JAPAN, vol. E82-C, No. 2, February 1999, pages 292-295.	Yes
		HARADA K et al.: "Hierarchical Optical Path Cross-Connect Systems for Large scale WDM Networks", OFC/IOOC '99 Optical Fiber Communication Conference/International Conference on Integrated Optics and Optical Fiber Communication. San Diego, CA Feb. 21, 1999, Optical Fiber Communication Conference/International Conference on Integrated OP, February 21, 1999, pages WM55-1-WM55-3 (357-358).	Yes

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.